

Brown Financial LLC

Name: _____

2021 Tax Questionnaire

If any of the following items on any of the **THREE** pages pertain to you or your spouse for 2021, please check the appropriate box and provide additional information if necessary.

PERSONAL INFORMATION

Yes	No	
		Did your marital status change during the year?
		Did your address change during the year?
		Are you or your spouse a veteran who was honorably discharged? If so, have you claimed the NJ Veterans Credit in a prior year?

DEPENDENTS

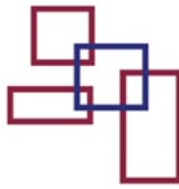
Yes	No	
		Is another person providing more than half of your financial support and could you be claimed as a dependent on their tax return for 2021?
		Were there any changes in your dependents? Such as a new baby or your child claiming themselves on their taxes?
		Did you have any children, under age 19 or full-time students under age 24 at the end of 2021, with total investment income in excess of \$2,200?

HEALTH CARE COVERAGE

Yes	No	
		Did you apply for health care coverage on Healthcare.gov or GetCovered.NJ? If so, did you download your Form 1095-A (Health Insurance Marketplace Statement)?
		Did you take a distribution from, or make a contribution to, an HSA, MSA, or FSA? If so, did you receive the associated tax statements?

INCOME

Yes	No	
		Have you received statements from all income you earned this year? See Tax Document Checklist for a list of the most common forms.
		Did you receive any unemployment or disability income? If so, have you downloaded your statement from the state website?



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BUSINESS

Yes	No	
		Did you start a business or farm, purchase rental or royalty property, or acquire an interest in a partnership, S corporation, or trust?
		Did you purchase or dispose of any business assets (furniture, equipment, vehicles, real estate, etc.), or convert any personal assets to business use?
		Did you use your car on the job (other than commuting to and from work?)

PURCHASES, SALES AND DEBT

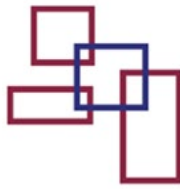
Yes	No	
		Did you buy or sell any stocks, bonds or other investment property in 2021?
		Did you purchase, sell, or refinance your principal home or second home, or did you take a home equity loan?
		Did you make any residential energy-efficient improvements or purchases involving solar, wind, geothermal or electric vehicles? If so, have you claimed this credit in prior years?
		Did you receive, sell, exchange, or otherwise dispose of any financial interest in any virtual currency?

RETIREMENT PLANS

Yes	No	
		Did you receive a distribution from a retirement plan (401(k), IRA, SEP, SIMPLE, Qualified Plan, etc.)? Was it due to COVID?
		Did you make a contribution to a retirement plan (401(k), IRA, SEP, SIMPLE, Qualified Plan, etc.)?
		Did you transfer or rollover any amount from one retirement plan to another retirement plan?

EDUCATION

Yes	No	
		Did you, your spouse, or a dependent incur any tuition expenses to attend a college, university, or vocational school?
		If yes, how many years have you been attending college?
		If yes, did you receive a distribution from a 529 Education Savings Account or a Qualified Tuition Program?



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CORONA VIRUS RELIEF

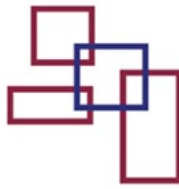
Yes	No	
		Did you receive the 3rd economic impact payment (Stimulus/EIP)? (March-April 2021) If so, how much? With income limitations, entitlements were: Single individuals \$1,400 and joint filers \$2,800, plus \$1,400 for each qualifying dependent, regardless of age.
		Advance payments of Child Tax Credit – Did you receive monthly ACTC payments July-Dec 2021? If so, how much did you receive total or per month? (Variations in the monthly amount were possible.)

ESTIMATED TAXES

Yes	No	
		Did you apply an overpayment of 2020 taxes to your 2021 estimated tax (instead of being refunded)?
		If you have an overpayment of 2021 taxes, do you want the excess applied to your 2022 estimated tax (instead of being refunded)?
		Do you expect your 2022 taxable income and withholdings to be different from 2021?

MISCELLANEOUS

Yes	No	
		May the IRS discuss your tax return with your preparer?
		For direct deposit of refunds or payment of taxes, did your bank account change from the one used on your 2020 tax return?
		Did you have an interest in or signature or other authority over a financial account in a foreign country, such as a bank account, securities account, or other financial account?
		Did you receive a distribution from, or were you the grantor of or transferor to, a foreign trust or did you have an interest in any foreign assets or accounts?
		Did you or your spouse make any gifts to an individual that total more than \$15,000, or any gifts to a trust?
		Were you notified or audited by either the Internal Revenue Service or the State taxing agency?



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Please upload, email, or bring the following documents to your tax appointment:

2021 Tax Document Checklist

Please provide the following Tax documents as needed to prepare your return:

- W2
- Last paystub of the year
- Social Security 1099s
- 1099-B Investment/Stock Sales
- 1099-INT Interest Income
- 1099-DIV Dividend
- 1099-R Retirement
- 1099-NEC Non-Employee Compensation
- 1099-G Unemployment Compensation
- 1098-E Student Loan interest
- 1098-T Tuition
- 1095-A Healthcare form only if purchased through healthcare marketplace
- 1098 Home Mortgage & Property tax card if not in mortgage
- HUD-1 Closing statement if you have sold or purchased a property
- K-1 Partnerships, Scorp or Trusts
- HSAs have 2 associated forms: 1099-SA and 5498-SA
- IRS Letter 1444-C, if received, with \$ amount of 3rd Stimulus/EIP
- Receipts for monetary donations to charity – there is an extra tax deduction for 2021

Children:

- Childcare statements or receipts – day camp counts! (Tax deduction only applies for children aged 12 and under as of 12/31/2021)
- IRS Letter 6419 which lists the total \$ amount of advance Child Tax Credit received
- Full name, DOB, and SSN for any new babies

Investment Accounts:

If you have any investment accounts and have sale transactions, your 1099-B can be mailed as late as March 15, 2022. As it is common for investment companies to amend tax information, you may receive an amended Form 1099 in February or March, even if you receive one in January.